

# **1.0 Division of Substance Abuse: Summary**

The Division of Substance Abuse (DSA) is the State substance abuse authority. The Division administers state and federal funds for the treatment and prevention of substance abuse. It contracts with the State's 13 local substance abuse authorities which provide services, either directly or through contract providers. The Division receives state and federal substance abuse funds and allocates them to local substance abuse authorities. A seven-member Board of Substance Abuse is the policy making entity for the Division.

## **1.1 Financial Summary**

The Analyst recommends a total appropriation of \$24.8 million for DSA for FY 2000. This includes \$10.4 million from the State General Fund.

	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>	<b>FY 00-99</b>
<b>Financing</b>	<b>Actual</b>	<b>Authorized</b>	<b>Analyst</b>	<b>Difference</b>
General Fund	\$10,123,500	\$10,401,500	\$10,402,400	\$900
Gen. Fund Restricted	950,000	950,000	950,000	
Federal Funds	12,034,644	13,167,400	13,398,500	231,100
Dedicated Credits	133,864	10,400	10,400	
Transfers	21,270	21,300	21,300	
Beginning Nonlapsing	4,919	8,200		(8,200)
Ending Nonlapsing	(8,229)			
<b>Total Revenues</b>	<b>\$23,259,968</b>	<b>\$24,558,800</b>	<b>\$24,782,600</b>	<b>\$223,800</b>
FTEs		17.6	17.6	
<b>Expenditures</b>				
Personal Services	\$777,196	\$867,500	\$867,400	(\$100)
Instate Travel	10,169	10,100	10,100	
Out of State Travel	11,810	11,800	11,800	
Current Expense	352,955	456,500	427,400	(29,100)
Data Proc. Current Exp.	47,460	47,300	47,300	
Pass Through Expense	22,060,378	23,165,600	23,418,600	253,000
<b>Total Expenditures</b>	<b>\$23,259,968</b>	<b>\$24,558,800</b>	<b>\$24,782,600</b>	<b>\$223,800</b>
<b>Programs</b>				
Administration	\$1,076,113	\$1,115,700	\$1,117,000	\$1,300
Local Centers	123,477			
State Services	2,546,482	3,417,400	3,639,900	222,500
Local Services	18,563,896	19,075,700	19,075,700	
D.U.I. Fund	950,000	950,000	950,000	
<b>Total</b>	<b>\$23,259,968</b>	<b>\$24,558,800</b>	<b>\$24,782,600</b>	<b>\$223,800</b>

**2.0 Division of  
Substance Abuse  
Budget Highlights**

The Analyst's FY 2000 recommendation reflects increases in federal grants expected next year, minor adjustments for rent reallocation at the 2<sup>nd</sup> West facility in Salt Lake, and changes in rates charged by the State's internal service funds.

	<b>General Fund</b>	<b>Total Funds</b>
<b>Authorized FY 1999</b>	<b>\$10,401,500</b>	<b>\$24,558,800</b>
<b>Transfers, Adjustments</b>		
Rent reallocation - 2nd West	\$700	\$1,100
Other FY00 revised non-State funding estimates		222,400
<b>Building Blocks</b>		
ISF adjustments	200	300
<b>Total Building Blocks &amp; Adjustments</b>	<b>\$900</b>	<b>\$223,800</b>
<b>Total FY 2000 Recommendations</b>	<b>\$10,402,400</b>	<b>\$24,782,600</b>
(W/O Compensation Adjustment)		

### 3.1 DSA: Administration

The State Office administers state and federal substance abuse programs. Services are provided by local substance abuse authorities. The services include education, prevention, and treatment. The office is responsible for data collection and reporting required by federal grant programs. It provides technical research and fiscal assistance to the local substance abuse authorities. It is responsible for the establishment of abuse prevention programs in cooperation with the State Office of Education and other agencies.

#### 3.1.1 Administration Budget Recommendation

The Analyst recommends an appropriation of \$1.1 million (\$627,500 General Fund) for FY 2000. This amount reflects the reallocation of rent charged at the 2<sup>nd</sup> West Salt Lake offices, internal service funds adjustments and other minor non-state funding changes.

	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>	<b>FY 00-99</b>
<b>Funding Source</b>	<b>Actual</b>	<b>Authorized</b>	<b>Analyst</b>	<b>Difference</b>
General Fund	\$662,193	\$626,600	\$627,500	\$900
Federal Funds	385,573	449,200	457,800	8,600
Dedicated Credits	10,387	10,400	10,400	
Revenue Transfers	21,270	21,300	21,300	
Beginning Nonlapsing	4,919	8,200		(8,200)
Ending Nonlapsing	(8,229)			
<b>Total Revenues</b>	<b>\$1,076,113</b>	<b>\$1,115,700</b>	<b>\$1,117,000</b>	<b>\$1,300</b>
FTEs		17.6	17.6	0.0
<b>FY 2000 Adjustments</b>				
Rent reallocation - 2nd West			\$1,100	
ISF adjustments			300	
Other FY00 revised funding estimates			(100)	
<b>Total Adjustments</b>			<b>\$1,300</b>	

#### 3.1.2 Drug Courts

Drug Courts have been created in several communities along the Wasatch Front. There are nine in all, including three juvenile drug courts. These courts combine intensive judicial supervision, mandatory drug testing, escalating sanctions, and treatment services to help offenders break the cycle of addiction and crime that often accompanies it. Drug Courts appear to be successful in keeping offenders out of jail and improving their quality of life. There is a significant cost savings potential in graduating offenders from drug court instead of housing them in jail.

The Division requested \$200,000 from the General Fund to increase resources available to the Drug Court system. Limited availability of funds precluded the Analyst from including this building block in his FY 2000 recommendations. However, should additional funds become available, the Analyst recommends that the Legislature consider additional funding for this program.

**3.2 DSA Local Centers**

Recently, two areas of the state, the Uintah Basin and Blanding contracted with the State to provide substance abuse (and mental health) programs in their regions. Both of these areas are now being served by newly created private non-profit agencies. Therefore, the "Local Centers" budget is now zero for FY 1999 and FY2000.

	<b>FY 1998*</b>	<b>FY 1999</b>	<b>FY 2000</b>	<b>FY 00-99</b>
<b>Funding Source</b>	<b>Actual</b>	<b>Authorized</b>	<b>Analyst</b>	<b>Difference</b>
Dedicated Credits	\$123,477	\$0	\$0	\$0
<b>Total Revenues</b>	<b>\$123,477</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
FTEs		0.0	0.0	0.0
*State provided local center services ceased in FY 1998. Services now provided by local authorities and providers statewide.				

**3.3 DSA State Services**

Most Division funds are contracted to Local Substance Abuse Authorities. By Board policy, 10 percent of funds can be retained by the Division to provide statewide services. The Division provides or contracts with statewide and local agencies for substance abuse services described below:

\* *Drug Free Schools and Communities*: A specific federal grant to local authorities to provide prevention and education programs for high risk youth and the general public.

\* *State Office of Education*: The State Office of Education provides curriculum and training in drug abuse prevention.

\* *Department of Corrections*: The Department of Corrections provides substance abuse treatment services at the Utah State Prison.

\* *University of Utah*: The University of Utah provides counselors' education, clinic services, evaluation and data analysis and operates the Utah School of Alcohol and Other Drug Dependencies.

\* *Federal Grants*: The Division has several federal grants including a Treatment Needs grant, TOPPS I and II which are treatment outcomes measurement grants, two prevention grants studying risk and protective factors in the State, and a grant looking at drug and alcohol use among arrested persons.

### 3.3.1 State Services Budget Recommendation

The Analyst recommends \$3.6 million for State Services programs for FY 2000, including \$590,700 from the General Fund. Several new federal grants have resulted in an increase of more than \$1 million from last year's actual federal revenues. One is a Federal Treatment Needs Grant which provides \$714,000 over three years to enhance the Division's efforts to acquire good "incidence and prevalence" studies in the State. The Division is the lead in a four-state grant of \$4.8 million (over three years) which will be used for a treatment outcome pilot project. Utah will keep about \$200,000 each year and pass the balance through to the other three states.

	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>	<b>FY 00-99</b>
<b>Funding Source</b>	<b>Actual</b>	<b>Authorized</b>	<b>Analyst</b>	<b>Difference</b>
General Fund	\$751,900	\$590,700	\$590,700	\$0
Federal Funds	1,794,582	2,826,700	3,049,200	222,500
<b>Total Revenues</b>	<b>\$2,546,482</b>	<b>\$3,417,400</b>	<b>\$3,639,900</b>	<b>\$222,500</b>
FTEs		0.0	0.0	0.0
<b>FY 2000 Adjustments</b>				
Other FY00 revised federal funding estimates			\$222,500	
<b>Total Adjustments</b>			<b>\$222,500</b>	

### 3.4 DSA: Local Services

County commissioners are designated as the local substance abuse authorities in the state. The counties may join together to provide or contract for services including prevention, intervention, and treatment. The local substance abuse authorities are required to provide a minimum 20 percent match for state funds received. There are currently 13 substance abuse centers in the State: Bear River, Weber, Davis, Salt Lake, Wasatch, Central Utah, Southwest, Four Corners, San Juan, Uintah, Summit, Utah and Tooele.

#### 3.4.1 Local Services Budget Recommendations

The Analyst's recommendation for FY 2000 is the same as the current FY 1999 authorized budget of \$19.1 million, split evenly between State funds and federal funds.

	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>	<b>FY 00-99</b>
<b>Funding Source</b>	<b>Actual</b>	<b>Authorized</b>	<b>Analyst</b>	<b>Difference</b>
General Fund	\$8,709,407	\$9,184,200	\$9,184,200	\$0
Federal Funds	9,854,489	9,891,500	9,891,500	0
<b>Total Revenues</b>	<b>\$18,563,896</b>	<b>\$19,075,700</b>	<b>\$19,075,700</b>	<b>\$0</b>
FTEs		0.0	0.0	0.0

**3.4.2 METH Drug Treatment**

Methamphetamine drug (METH) abuse growing. Treatment admissions for METH abuse have multiplied in the last few years. The Division requested \$250,000 in State funds to provide treatment for 180 individuals annually. Last year the Legislature increased appropriations for METH abuse treatment by \$100,000. Due to limited resources, the Analyst was unable to include this request in his recommended budget.

**3.5 DSA: DUI Fines**

The Division oversees the Intoxicated Rehab Trust Account. The state receives 7.5 percent of the surcharges levied on persons convicted of a felony, class A, or B misdemeanors. These fees on fines are appropriated to the Division and then distributed to the 13 local substance abuse authorities by formula to support education and intervention services for drivers guilty of driving under the influence. The formula is driven by the prevalence study of substance abuse as well as by overall population.

**3.5.1 DUI Fines Budget Recommendation**

The Division's expenditures from Intoxicated Rehab Trust Account are limited to the lesser of the amount authorized by the Legislature or by the availability of funds. The recommended appropriation for FY 2000 is the same as the current authorized amount. The balance in the fund at the end of FY 1998 was \$85,268. Revenues during the last few years have been averaging \$900,000 annually.

	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>	<b>FY 00-99</b>
<b>Funding Source</b>	<b>Actual</b>	<b>Authorized</b>	<b>Analyst</b>	<b>Difference</b>
General Fund	\$0	\$0	\$0	\$0
General Fund Restricted	950,000	950,000	950,000	
Beginning Nonlapsing				
Ending Nonlapsing				
<b>Total Revenues</b>	<b>\$950,000</b>	<b>\$950,000</b>	<b>\$950,000</b>	<b>\$0</b>
FTEs		0.0	0.0	0.0

## 4.0 Tables

### 4.1 Funding History

	<b>FY 1995</b>	<b>FY 1996</b>	<b>FY 1997</b>	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>
<b>Funding</b>	<b>Actual</b>	<b>Actual</b>	<b>Actual</b>	<b>Actual</b>	<b>Authorized</b>	<b>Analyst</b>
General Fund	\$8,784,600	\$8,731,200	\$9,323,400	\$10,123,500	\$10,401,500	\$10,402,400
General Fund Restricted	700,000	700,000	842,100	950,000	950,000	950,000
Federal Funds	11,951,400	11,236,100	13,030,600	12,034,644	13,167,400	13,398,500
Dedicated Credits	3,812,500	3,791,600	2,996,000	133,864	10,400	10,400
Transfers	822,900	767,600	84,900	21,270	21,300	21,300
Beginning Nonlapsing	3,700	5,900	3,400	4,919	8,200	0
Ending Nonlapsing	(5,900)	(3,400)	(4,900)	(8,229)	0	0
<b>Totals</b>	<b>\$26,069,200</b>	<b>\$25,229,000</b>	<b>\$26,275,500</b>	<b>\$23,259,968</b>	<b>\$24,558,800</b>	<b>\$24,782,600</b>
FTEs	114.5	114.5	87	23.6	17.6	17.6
<b>Programs</b>						
Administration	\$814,800	\$980,400	\$1,008,700	\$1,076,113	\$1,115,700	\$1,117,000
Local Centers	4,642,900	4,550,900	3,023,000	123,477	0	0
State Services	3,422,500	3,067,200	2,984,400	2,546,482	3,417,400	3,639,900
Local Services	16,489,000	15,930,500	18,417,300	18,563,896	19,075,700	19,075,700
DUI Fees on Fines	700,000	700,000	842,100	950,000	950,000	950,000
<b>Totals</b>	<b>\$26,069,200</b>	<b>\$25,229,000</b>	<b>\$26,275,500</b>	<b>\$23,259,968</b>	<b>\$24,558,800</b>	<b>\$24,782,600</b>
Percent Increase		-3.22%	4.15%	-11.48%	5.58%	0.91%

**4.2 Federal Funds**

	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>	<b>FY 00 - 99</b>
	<b>Actual</b>	<b>Authorized</b>	<b>Analyst</b>	<b>Difference</b>
Drug Free Schools*	\$777,749	\$698,000	\$698,000	\$0
Misc OHDS Grants*	223,383	270,600	167,100	(103,500)
DHS Other Grants*	85,573	1,584,100	1,976,800	392,700
DHS SAPT Block Grant**	10,947,939	10,614,700	10,556,600	(58,100)
<b>Totals</b>	<b>\$12,034,644</b>	<b>\$13,167,400</b>	<b>\$13,398,500</b>	<b>\$231,100</b>

\*No State match required.

\*\*While no State matching funds are required, the State must continue a "maintenance of effort."